BNP Paribas Securities Corp. - Held NMS Stocks and Options Order Routing Public Report Generated on Wed Apr 26 2023 16:40:55 GMT-0400 (Eastern Daylight Time)

1st Quarter, 2023

S&P 500 Sto	cks											
Summary												
Non-Directed Orders		ders as % of eted Orders	Marketable Limit Orders as % of Non- Directed Orders	Orders	arketable Limit as as % of Non- cted Orders	Other Orders as % of Non-Directed Orders						
	-	-	-		-	-						
enues									_			
Venue - No Non- Directed Order Flow	ted Orders	Marketal Limit Ord (%)		Other Orders (%)	Net Paymer Paid/Received Market Orders(USD	for Received for Market Orders(cents per	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paic Received for Othe Orders(cents per hundred shares)
lon-S&P 500 ummary	23 Stocks Market 0	ders as % of ted Orders	Marketable Limit Orders as % of Non- Directed Orders	Orders	arketable Limit as % of Non- cted Orders	Other Orders as % of Non-Directed Orders			- -			
lon-S&P 500 ummary Non-Directed Order as % of All Orders	23 Stocks Market 0		Orders as % of Non-	Orders	as % of Non-							
Jon-S&P 500 ummary Non-Directed Order as % of All Orders enues	23 Stocks Market 0 Non-Dire	ted Orders	Orders as % of Non- Directed Orders	Orders Dire	s as % of Non- cted Orders	Non-Directed Orders					Lauren	
Jon-S&P 500 cummary Non-Directed Order as % of All Orders	23 Stocks S Market 0 Non-Dire	ted Orders	Orders as % of Non- Directed Orders	Orders	as % of Non-	Non-Directed Orders	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Pa Received for Oth Orders(cents p hundred share:
/enues Venue - Non- directed Order Order	D Stocks Stocks Market 0 Non-Dire Description Market 0 Orders (%)	ted Orders - Marketal Limit Ord	Orders as % of Non- Directed Orders	Orders Dire	s as % of Non- cted Orders Net Paymer Paid/Received Market	Non-Directed Orders	Received for Marketable Limit	Received for Marketable Limit Orders(cents per	Received for Non- Marketable Limit	Received for Non- Marketable Limit Orders(cents per	Paid/Received for Other	Received for Otl Orders(cents p

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Derivatives Group (CDRG)	65.32	0.00	0.00	0.00	65.32	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	35,755.6000	40.0000
Dash Financial Technologies LLC (DFIN)	33.02	0.00	0.00	0.00	33.02	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-23,560.6182	-10.3100

Material Aspects:

February 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-	-	-	-

Venues

Material Aspects:

February 2023

Non-S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders Non-Directed Orders		Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
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	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
1	-	-	-	-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Order Orders (%) (%)		Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)	
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Material Aspects:

February 2023

Options Summary

	Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
Ì	100.00	0.00	0.00	0.00	100.00

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Derivatives Group (CDRG)	18.60	0.00	0.00	0.00	18.60	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	4,674.0000	40.0000
Dash Financial Technologies LLC (DFIN)	77.10	0.00	0.00	0.00	77.10	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-19,424.3504	-14.5600

Material Aspects:

March 2023

S&P 500 Stocks

Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders
-	-		-	-

Venues

Venue - Non- directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Pai Received for Oth Orders(cents pe hundred shares
aterial A	snects:												
arch	2023												
aicii	2023												
n-S&l	2 500 Sto	ocks											
	2 500 Sto	ocks											
on-S&I mmary Non-Direct as % of A	ed Orders	OCKS Market Orde Non-Directe	d Orders Ord	Marketable Limit ders as % of Non- Directed Orders	Orders		ner Orders as % of n-Directed Orders						
mmary lon-Direct	ed Orders	Market Orde	d Orders Ord	ders as % of Non-	Orders	as % of Non- No							
mmary Non-Direct	ed Orders	Market Orde	d Orders Ord	ders as % of Non-	Orders	as % of Non- No							

hundred shares)

hundred shares)

Material Aspects:

Flow

March 2023

Options Summary

Non-Directed Orders as % of All Orders	Market Orders as % of Non-Directed Orders	Marketable Limit Orders as % of Non- Directed Orders	Non-Marketable Limit Orders as % of Non- Directed Orders	Other Orders as % of Non-Directed Orders	
100.00	0.00	0.00	0.00	100.00	

Venues

Venue - Non-directed Order Flow	Non- Directed Orders (%)	Market Orders (%)	Marketable Limit Orders (%)	Non- Marketable Limit Orders (%)	Other Orders (%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/ Received for Market Orders(cents per hundred shares)	Net Payment Paid/ Received for Marketable Limit Orders(USD)	Net Payment Paid/ Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/ Received for Non- Marketable Limit Orders(USD)	Net Payment Paid/ Received for Non- Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/ Received for Other Orders(cents per hundred shares)
Citadel Derivatives Group (CDRG)	32.82	0.00	0.00	0.00	32.82	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	10,553.5000	50.0000
Dash Financial Technologies LLC (DFIN)	62.87	0.00	0.00	0.00	62.87	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	-27,844.8300	-9.7500

